

031004  
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PTO/SB/05 (11-00)  
Approved for use through 10/31/2002, OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCEUTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	8836-230 (IB12296-US)
First Inventor	Souk-Joung YOON
Title	SECURITY SYSTEM USING MOBILE PHONE
Express Mail Label No.	EV413370805US

10/797848  
U.S. PTO  
031004

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
- Applicant claims small entity status.  
See 37 CFR 1.27.
- Specification [Total Pages 24]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [ Total Sheets 6 ]
- Oath or Declaration [ Total Pages 2 ]
- Newly executed (original or copy)
  - Copy from a prior application (37 CFR 1.63 (d))
  - (for continuation/divisional with Box 18 completed)
- DELETION OF INVENTOR(S)**

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  Power of (when there is an assignee) Attorney
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)
16.  Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other: (2) Credit Card Payment Forms

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation  Divisional  Continuation-in-part (CIP)

of prior application No. \_\_\_\_\_

Prior application Information: Examiner \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	(Insert Customer No. or Attach bar code label here)		or	<input type="checkbox"/> Correspondence address below
Name	Frank Chau			
Address	F. CHAU & ASSOCIATES, LLP 1900 Hempstead Turnpike, Suite 501			
City	East Meadow	State	New York	Zip Code 11554
Country	U.S.A	Telephone	(516) 357-0091	Fax (516) 357-0092
Name (Print/Type)	Eric M. Parham		Registration No. (Attorney/Agent)	45,747
Signature	<i>Eric M. Parham</i>		Date	March 10, 2004

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 810.00)

## Complete if Known

Application Number	
Filing Date	March 10, 2004
First Named Inventor	Souk-Joung YOON
Examiner Name	
Art Unit	
Attorney Docket No.	8836-230 (IB12296-US)

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money Order  Other  None  
 Deposit Account:

Deposit Account Number **50-0679**  
 Deposit Account Name **F.CHAU & ASSOCIATES, LLC**

The Director is authorized to: (check all that apply)

 Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) or any underpayment of fee(s)  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	<b>770.00</b>		
1002 340	2002 170	Design filing fee			
1003 530	2003 265	Plant filing fee			
1004 770	2004 385	Reissue filing fee			
1005 160	2005 80	Provisional filing fee			
<b>SUBTOTAL (1)</b>		<b>(\$ 770.00)</b>			

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
20	20	-20** = 0	0	x 18	0
3	3	-3** = 0	0	x 86	0
					<b>290</b>

Large Entity	Small Entity	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 280	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2)</b>		<b>(\$ 0)</b>

\*or number previously paid, if greater. For Reissues, see above

## 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	40.00
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify):

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40.00)

(Complete if applicable)

Name (Print/Type)	Eric M. Parham	Registration No. (Attorney/Agent)	45,747	Telephone	516-357-0091
Signature	<i>E. Parham</i>			Date	March 10, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**PATENT**

Atty. Docket No. 8836-230 (IB12296-US)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**APPLICATION FEE TRANSMITTAL**

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Souk-Joung YOON, Young-Ro KIM

For: SECURITY SYSTEM USING MOBILE PHONE

**This application is a:**

New Application

Continuation

Continuation-In-Part (CIP)

Divisional

of co-pending U.S. application Serial No. \_\_\_\_\_  
filed on \_\_\_\_\_.

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**CERTIFICATION UNDER 37 C.F.R. § 1.10**

I hereby certify that this Application transmittal and the documents referred to as enclosed are being deposited with the United States Postal Service on this date March 10, 2004 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EV413370805US addressed to: Commissioner for Patents, Alexandria, VA 22313.

Eric M. Parham  
(Type or print name of person mailing paper)

E. Parham  
(Signature of person mailing paper)

**Enclosed are:**

14 page(s) of specification  
 1 page(s) of Abstract  
 9 page(s) of claims  
 6 sheets of drawings  formal  informal  
 2 page(s) of Declaration and Power of Attorney  
 An Assignment of the invention to: Samsung Electronics Co., Ltd.

This application claims the benefit under 35 U.S.C. §119(e) of U.S. Provisional Application(s) No(s).:

APPLICATION NO(S).:	FILING DATE
_____	_____

Priority under Title 35 United States Code, § 119 is claimed from:

<u>Country</u>	<u>Appln. No.</u>	<u>Filed</u>
<u>Korea</u>	<u>2003-19654</u>	<u>28 March 2003</u>

Certified copy of which:

is enclosed

will follow.

**Additional papers enclosed:**

Preliminary Amendment  
The fees to be charged are to be based on the number of claims remaining as a result of the attached Preliminary Amendment.

Information Disclosure Statement

Form PTO-1449

Other

**Fee Calculation (37 C.F.R. §1.16)**

For	Number Filed	Number Extra	Rate	Basic Fee
<b>Total</b>				<b>\$ 770.00</b>
<u>Claims*</u>	20	-20 = 0	x \$ 18.00	\$ 0
Independent				
<u>Claims</u>	3	-3 = 0	x \$ 86.00	\$ 0
Multiple	[ ] yes	Add'l. Fee	\$290.00	\$
Dependent				
<u>Claims</u>	[X] no	Add'l. Fee	None	= \$
				<b>TOTAL \$ 770.00</b>

Applicant Claims Small Entity Status Under 37 C.F.R. § 1.27. Reduced fees under 37 C.F.R. § 1.9(f) (50% of total) paid herewith \$ .00.

Please charge fee of \$40.00 for recording the attached assignment by Credit Card Payment Form PRO-2038 enclosed herewith.

Please charge fee of \$770.00 to cover the filing fee by Credit Card Payment Form PTO-2038 enclosed herewith.

Charge fee to Deposit Account No. 50-0679. Order No.        TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

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\* Includes all independent and single dependent claims and all claims referred to in multiple claims. See 37 C.F.R. § 1.75(c).

Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. § 1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 50-0679. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 50-0679 therefor.  
TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

**Relate Back - 35 U.S.C. § 120**

Amend the specification by inserting after the Title the sentence:

--This is a [ ] continuation [ ] continuation-in-part [ ] divisional of copending application Serial No. \_\_\_\_\_ filed on \_\_\_\_\_, the disclosure of which in its entirety is incorporated-by-reference herein.--

Date: March 10, 2004

E. Parham  
SIGNATURE OF ATTORNEY  
Eric M. Parham  
Reg. No. 45,747

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